

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 03-1106)

PATENT

In re Application of:

Richard W. Friesen et al.

Serial No.: 09/289,550

Filed: April 9, 1999

For: User Interface for an Electronic Trading  
System

Examiner: Charles R. Kyle

Group Art Unit: 3624

Fax No.: 703-872-9306

No. of Pages: 13

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450FACSIMILE TRANSMITTAL LETTER

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Response to Office Action mailed September 15, 2004.
2. With respect to fees:
  - a. No fees are due at this time.
  - b. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being transmitted to the USPTO facsimile number 703-872-9306, according to 37 CFR 1.6(d) addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 14, 2004.

Respectfully Submitted,

Date: December 14, 2004

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**RESPONSE TO OFFICE ACTION MAILED SEPTEMBER 15, 2004**

Sir:

In response to the Office Action of September 15, 2004, the Applicants submit the following:

**Listing of Claims** begins on page 2 of this paper – no amendments have been made.

**Remarks/Arguments** begin on page 10 of this paper.